

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 12406-003002	Application No. 09/731,220
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR 1.98(b))		Applicant Hohn et al.	
		Filing Date December 6, 2000	Group Art Unit 2815

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	3,691,482	09/12/1972	Pinnow et al.			
	AB						
	AC						
	AD						
	AE						

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AF	Japanese Internet Literature, "Preparing Nearly-Spherical Aluminate Phosphors of Uniform Size".
	AG	Course of Lectures: Fundamental and Application of Color Image Engineering, No. 5, Television Gakkaishi (The Journal of the Institute of Television Engineers of Japan), Vol. 47, 1993, p. 753-764.
	AH	R.W.G. Hunt, "Revised Colour-Appearance Model for Related and Unrelated Colours", Color Research and Application, Vol. 14, 1991 p. 146-165.
	AI	Yoshinobu Nayatani, "Revision of the Chroma and Hue Scaled of a Nonlinear Color-Appearance Model", Color Research and Application, Vol. 20, 1995, p. 143-155.
	AJ	Mitsuo Ikeda et al. "Equivalent Lightness of Colored Objects at Illuminances from the Scotopic to the Photopic Level", Color Research and Application, Vol. 14, 1989, p. 198-206.
	AK	Mitsuo Ikeda et al., "Equivalent Lightness of Colored Objects of Equal Munsell Chroma and of Equal Munsell Value at Various Illuminances", Color Research and Application, Vol. 16, 1991, p. 72-80.
	AL	Hiroyuki Shinoda et al., "Categorized Color Space on CRT in the Aperture and the Surface Color Mode", Color Research and Application, Vol. 18, 1993, p. 326-333.
	AM	Change of Color Conspicuity for Various Illuminance Levels Effects of the Purkinje shift, Shomei Gakkaishi (Journal of the Illuminating Engineering Institute of Japan, Vol. 17, 1987, p. 612-617.
	AN	Japanese Journal of Applied Physics, Part 2, Vol. 31, No. 10B, 1992, p. L1457-L1459.
	AO	Philips Journal of Research, Vol. 36, No. 1, 1981, p. 15-30.
	AP	Translation of JP 48-39866, 05/18/1973, Japan
	AQ	Translation of JP 6-0009, 08/19/1994, Japan
	AR	Translation of JP 5-50611, 07/02/1993, Japan
	AS	Translation of JP 5-72553, 10/05/1993, Japan

Examiner Signature	Date Considered
	6/04
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Substitute Form PTO-1449 (Modified 8-92)		U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 12406-003002	Application No. 09/731,220
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR § 1.9(a)(2)(b))		Applicant Hohn et al.		
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Foreign Patent Documents or Published Foreign Patent Applications							
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
	AY	JP 47-017684	09/09/1972	Japan	-		
	AZ	JP 49-1221	01/12/1974	Japan	-		
	AAA	JP 55-4898	01/14/1980	Japan	-		
	ABB	JP 62-20237	01/28/1987	Japan	-		

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	ACC	Journal of the Electrochemical Society, Solid-State Science and Technology, "Preparation of Y ₃ Al ₅ O ₁₂ Based Phosphor Powders", February 1987, pp. 493-498.
	ADD	Chao et al., "White Light Emitting Glass", Jour. Solid State Chemistry, p. 17-29.
	AEE	Nakamura, SPIE, "Present performance of InGaN based blue/green/yellow LEDS", vol. 3002, 1997, pp. 26-35.
	AFF	A Dictionary of Metallurgy, page 9, 1958

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Substitute Form PTO-1449 (Revised) 1-15-2004		U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 12406-003002	Application No. 09/731,220
Information Disclosure Statement by Applicant <small>(use several sheets if necessary)</small>		Applicant Hohn et al.		
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U.S. Patent Documents

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	3,699,478	10/17/1992	Pinnow et al.	332	7.51	
	AB	4,298,820	11/03/1981	Bongers et al.	313	463	
	AC	4,479,886	10/30/1984	Kasenga	252	301.4	
	AD	4,727,283	02/23/1988	van Kemenade et al.	313	487	
	AE	4,780,752	10/25/1988	Angerstein et al.	357	72	
	AF	5,107,317	04/21/1992	Takasaki	357	30	
	AG	5,202,777	04/13/1993	Sluzky et al.	357	50	
	AH	5,798,537	08/25/1988	Nitta	257	103	
	AI	5,847,507	12/08/1988	Butterworth et al.	313	512	
	AJ						
	AK						
	AL						
	AM						
	AN						

Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AO	JP 56-005884	01/21/1981	Japan	-	-		
	AP	DE 90 13 615	01/24/1991	Germany	-	-		
	AQ	JP 01-179471	07/17/1989	Japan	-	-		
	AR	JP 02-927279	07/28/1999	Japan	-	-		
	AS	JP 07-292354	07/11/1995	Japan	-	-		
	AT	JP 08-007614	01/12/1996	Japan	-	-		
	AU	JP 08-096958	04/12/1996	Japan	-	-		
	AV	JP 09-028642	02/04/1997	Japan	-	-		
	AW	JP 48-6962	03/02/1973	Japan	-	-		
	AX	JP 46-39866	06/12/1973	Japan	-	-		

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Substitute Form PTO-1449 (MPEP) JUL 8 2003 (37 CFR 1.13(b))	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 12406-003002	Application No. 09/731,220
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U.S. Patent Documents

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	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						

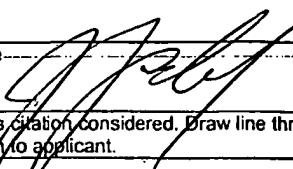
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Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
	AH	5-152609	06/18/1993	Japan			X
	AI	7-176794	07/14/1995	Japan			X
	AJ	7-99345	04/11/1995	Japan			X
	AK	50-74875	06/30/1975	Japan			X
	AL	7-193281	07/28/1995	Japan			X
	AM	6-69546	03/11/1994	Japan			X
	AN	7-42152	07/21/1995	Japan			X
	AO						

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
	AP	Excerpt from Nikkei Sangyo Shimbun; September 13, 1996
	AQ	Translation of "AP"
	AR	
	AS	

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